



General Assembly

January Session, 2001

Raised Bill No. 6622

LCO No. 3457

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING RESTRICTIONS ON CAMPAIGN CONTRIBUTIONS BY STATE CONTRACTORS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-333n is of the general statutes is repealed and
2 the following is substituted in lieu thereof:

3 (a) No individual shall make a contribution or contributions in any
4 one calendar year in excess of five thousand dollars to the state central
5 committee of any party, or for the benefit of such committee pursuant
6 to its authorization or request; or one thousand dollars to a town
7 committee of any political party, or for the benefit of such committee
8 pursuant to its authorization or request; or one thousand dollars to a
9 political committee other than (1) a political committee formed solely
10 to aid or promote the success or defeat of a referendum question, (2) an
11 exploratory committee, (3) a political committee established by an
12 organization, or for the benefit of such committee pursuant to its
13 authorization or request, or (4) a political committee formed by a slate
14 of candidates in a primary for the position of delegate to the same
15 convention.

16 (b) No individual shall make a contribution to a political committee
17 established by an organization which receives its funds from the
18 organization's treasury. With respect to a political committee
19 established by an organization which has complied with the provisions
20 of subsection (b) or (c) of section 9-333p, and has elected to receive
21 contributions, no individual other than a member of the organization
22 may make contributions to the committee, in which case the individual
23 may contribute not more than five hundred dollars in any one calendar
24 year to such committee or for the benefit of such committee pursuant
25 to its authorization or request.

26 (c) In no event may any individual make contributions to a
27 candidate committee and a political committee formed solely to
28 support one candidate other than an exploratory committee or for the
29 benefit of a candidate committee and a political committee formed
30 solely to support one candidate pursuant to the authorization or
31 request of any such committee, in an amount which in the aggregate is
32 in excess of the maximum amount which may be contributed to the
33 candidate.

34 (d) Any individual may make unlimited contributions or
35 expenditures to aid or promote the success or defeat of any
36 referendum question, provided any individual who makes an
37 expenditure or expenditures in excess of one thousand dollars to
38 promote the success or defeat of any referendum question shall file
39 statements according to the same schedule and in the same manner as
40 is required of a campaign treasurer of a political committee under
41 section 9-333j.

42 (e) Any individual acting alone may, independent of any candidate,
43 agent of the candidate, or committee, make unlimited expenditures to
44 promote the success or defeat of any candidate's campaign for election,
45 or nomination at a primary, to any office or position, provided any
46 individual who makes an independent expenditure or expenditures in
47 excess of one thousand dollars to promote the success or defeat of any

48 candidate's campaign for election, or nomination at a primary, to any
49 such office or position shall file statements according to the same
50 schedule and in the same manner as is required of a campaign
51 treasurer of a candidate committee under section 9-333j.

52 (f) (1) As used in this subsection, "investment services" means legal
53 services, investment banking services, investment advisory services,
54 underwriting services, financial advisory services or brokerage firm
55 services.

56 (2) No individual who is an owner of a firm which provides
57 investment services and to which the Treasurer pays compensation,
58 expenses or fees or issues a contract, and no individual who is
59 employed by such a firm as a manager, officer, director, partner or
60 employee with managerial or discretionary responsibilities to invest,
61 manage funds or provide investment services for brokerage,
62 underwriting and financial advisory activities which are in the
63 statutory and constitutional purview of the Treasurer, shall make a
64 contribution on or after October 1, 1995, to, or solicit contributions on
65 or after said date on behalf of, an exploratory committee or candidate
66 committee established by a candidate for nomination or election to the
67 office of Treasurer during the term of office of the Treasurer which
68 pays compensation, expenses or fees or issues a contract to such firm.

69 (3) Neither the Treasurer, the Deputy Treasurer, any candidate for
70 the office of Treasurer nor any member of the Investment Advisory
71 Council established under section 3-13b may solicit contributions on
72 behalf of an exploratory committee or candidate committee established
73 by a candidate for nomination or election to any public office, from any
74 individual who is an owner of a firm which provides investment
75 services and to which the Treasurer pays compensation, expenses or
76 fees or issues a contract, or from any individual who is employed by
77 such a firm as a manager, officer, director, partner or employee with
78 managerial or discretionary responsibilities to invest, manage funds or
79 provide investment services for brokerage, underwriting and financial

80 advisory activities which are in the statutory and constitutional
81 purview of the Treasurer.

82 (4) No member of the Investment Advisory Council appointed
83 under section 3-13b shall make a contribution to, or solicit
84 contributions on behalf of, an exploratory committee or candidate
85 committee established by a candidate for nomination or election to the
86 office of Treasurer.

87 (5) No individual who is an owner of a firm which provides
88 investment services and to which the Treasurer pays compensation,
89 expenses or fees or issues a contract, and no individual who is
90 employed by such a firm as a manager, officer, director, partner or
91 employee with managerial or discretionary responsibilities to invest,
92 manage funds or provide investment services for brokerage,
93 underwriting and financial advisory activities which are in the
94 statutory and constitutional purview of the Treasurer, may make a
95 contribution to, or solicit contributions on behalf of, an exploratory
96 committee or candidate committee established by a candidate for
97 nomination or election to any public office.

98 (g) (1) As used in this subsection, "state officer" means the Governor,
99 Lieutenant Governor, Secretary of the State, Comptroller or Attorney
100 General.

101 (2) If a state officer awards a contract or contracts which, separately
102 or in the aggregate, have a value of one hundred thousand dollars or
103 more to a business, (A) no individual who is an owner, partner,
104 director or officer of said business, or a manager of said business who
105 has substantial policy or decision-making authority concerning the
106 administration of the contract shall make a contribution or
107 contributions in excess of one hundred dollars to, or for the benefit of,
108 said state officer's campaign for nomination at a primary or re-election
109 to the same office or election to any other public office or to an
110 exploratory committee formed by said state officer, and (B) said state
111 officer and the officer's committee or agent shall not solicit

112 contributions, on behalf of the candidate or exploratory committee
113 established by said state officer or the candidate or exploratory
114 committee established by any other candidate for nomination or
115 election to any other public office or on behalf of any political
116 committee or party committee, from (i) any individual who is an
117 owner, officer, director, partner or such a manager of said business, (ii)
118 the spouse of any such individual or a dependent child of any such
119 individual who resides in the individual's household, (iii) a political
120 committee established by said business, or (iv) any individual who is
121 an owner, officer, director or partner of a subcontractor of said
122 business or a manager of said subcontractor who has substantial policy
123 or decision-making authority concerning the administration of the
124 subcontract.

125 (3) Each state officer shall keep a list of (A) businesses to which the
126 state officer has awarded a contract or contracts of one hundred
127 thousand dollars or more, and (B) all subcontractors under said
128 contracts. Said list shall be subject to disclosure under the Freedom of
129 Information Act and shall be available to the State Elections
130 Enforcement Commission. Each contract issued by a state officer shall
131 include the provisions of subparagraph (A) of subdivision (2) of this
132 subsection as a condition of the contract. Each business to which a state
133 officer has awarded a contract or contracts of one hundred thousand
134 dollars or more and each subcontractor under said contract shall
135 maintain a list of such business' or subcontractor's owners, partners,
136 directors, officers and managers with substantial policy or decision-
137 making authority related to the administration of such contracts and
138 shall provide such list to the State Elections Enforcement Commission
139 upon request.

140 (4) For purposes of this subsection, (A) a contract awarded by a
141 department head in the executive branch of state government who is
142 appointed by the Governor shall be deemed to have been awarded by
143 the Governor, and (B) a contract awarded by a board, commission,
144 council or other multi-member authority, for which a majority of the

145 members are appointed by a single state officer, shall be deemed to
146 have been awarded by said state officer.

147 Sec. 2. Section 9-333w of the general statutes is amended by adding
148 subsection (g) as follows:

149 (NEW) (g) The campaign treasurer of an exploratory committee or
150 candidate committee established by a candidate for nomination or
151 election as a state officer, as defined in subdivision (1) of subsection (g)
152 of section 9-333n, as amended by this act, which sponsors any written,
153 typed or other printed communication for the purpose of raising funds
154 shall include in such communication a statement concerning the
155 contribution limit set forth in subsection (g) of section 9-333n.

156 Sec. 3. This act shall take effect July 1, 2001.

Statement of Purpose:

To reduce the maximum amount of campaign contributions that owners, officers and certain employees of businesses that are awarded contracts by certain elected state officials, which are valued at one hundred thousand dollars or more, may make to said state officials, and to prohibit said state officials from soliciting campaign contributions from said persons and other interested parties.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]